

2. Basic assumptions for stellar atmospheres

1. geometry, stationarity
2. conservation of momentum, mass
3. conservation of energy
4. Local Thermodynamic Equilibrium

1. Geometry

Stars as gaseous spheres → **spherical symmetry**

Exceptions: rapidly rotating stars

Be stars $v_{\text{rot}} = 300 - 400 \text{ km/s}$

(Sun $v_{\text{rot}} = 2 \text{ km/s}$)

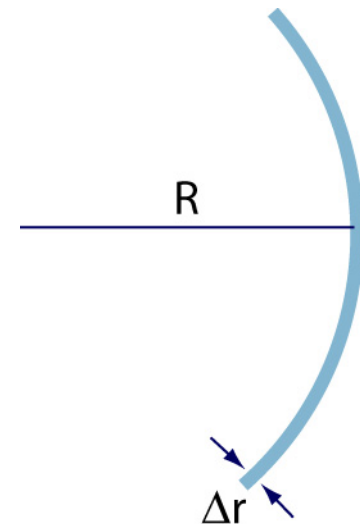
For stellar photospheres typically: **$\Delta r/R \ll 1$**

Sun: $R_{\odot} = 700,000 \text{ km}$

photosphere $\Delta r = 300 \text{ km}$ $\Delta r/R = 4 \times 10^{-4}$

cromosphere $\Delta r = 3000 \text{ km}$ $\Delta r/R = 4 \times 10^{-3}$

corona **$\Delta r/R \sim 3$**

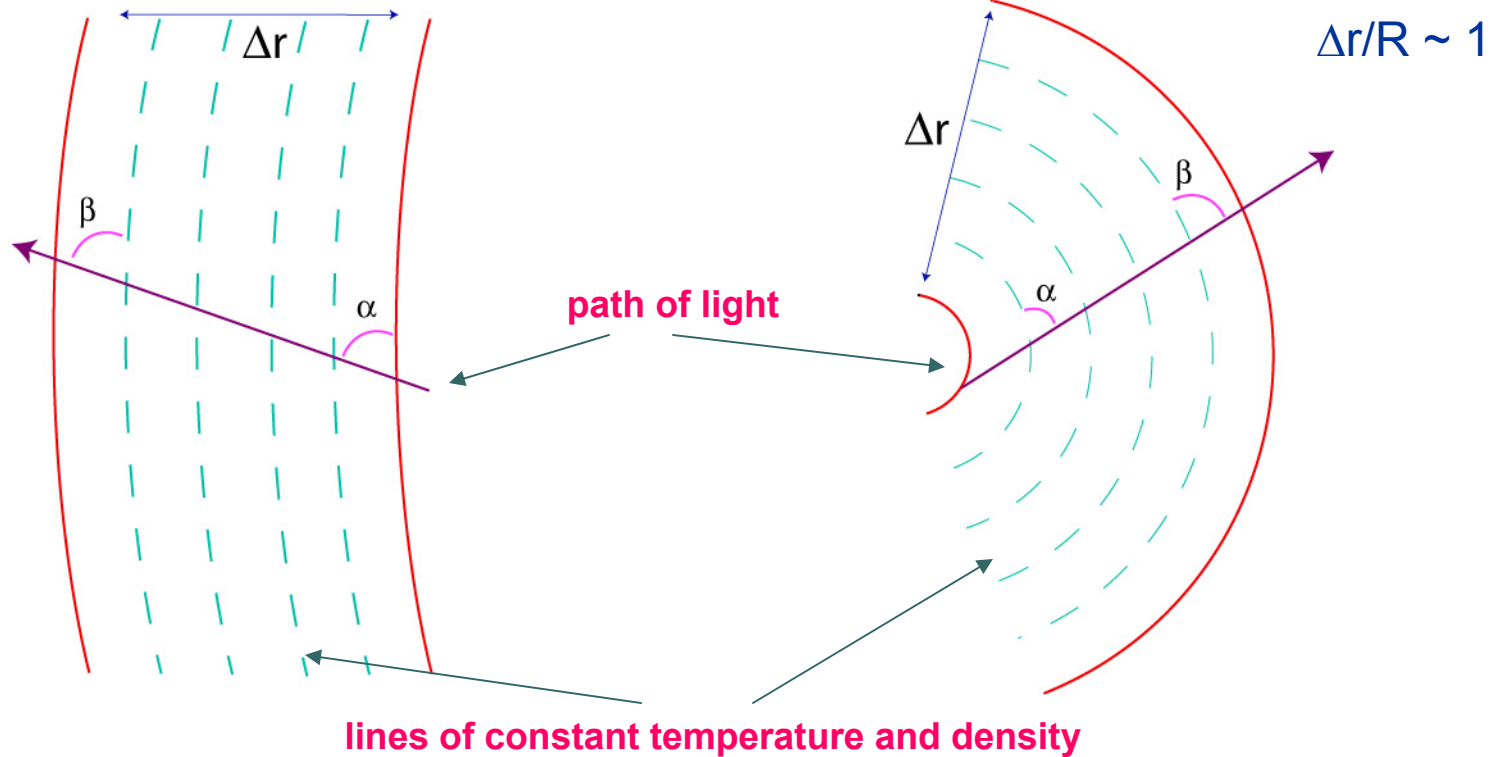




As long as $\Delta r/R \ll 1$: plane-parallel symmetry

consider a light ray through the atmosphere:

$\Delta r/R \ll 1$



Plane-parallel symmetry

very small curvature ($\alpha \sim \beta$)

Solar photo/cromosphere, dwarfs,
(giants)

Spherical symmetry

significant curvature ($\alpha \neq \beta$)

Solar corona, supergiants, expanding
envelopes (OBA stars), novae, SN



Homogeneity & stationarity

We assume the atmosphere to be homogeneous

Counter-examples:

sunspots, granulation, non-radial pulsations

clumps and shocks in hot star winds

magnetic Ap stars

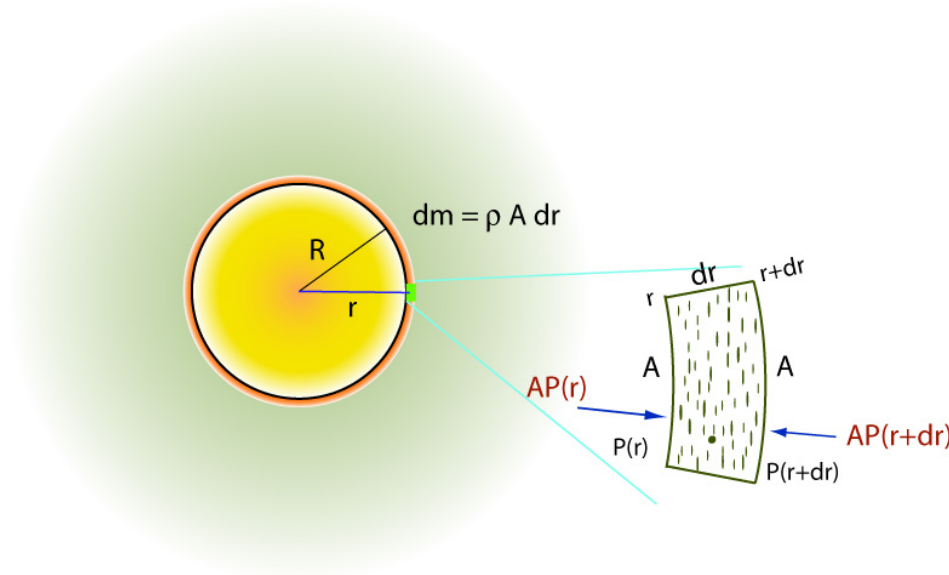
and stationary

Most spectra are time-independent: $\partial/\partial t = 0$ (*if we don't look carefully enough...*)

Exceptions: explosive phenomena (SN), stellar pulsations, magnetic stars, mass transfer in close binaries

2. Conservation of momentum & mass

consider a mass element dm in a spherically symmetric atmosphere



The acceleration of the mass element results from the sum of all forces acting on dm , according to Newton's 2nd law:

$$dm \frac{d}{dt} v(r, t) = \sum_i df_i = F$$

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a. Hydrostatic equilibrium

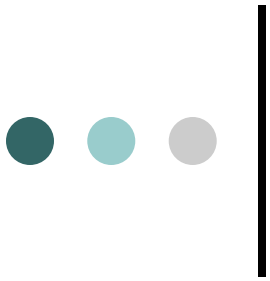
Assuming a hydrostatic stratification: $v(r,t)=0$

$$0 = \sum_i df_i$$

Gravitational forces: $df_{grav} = -G \frac{M_r \cdot dm}{r^2} = -g(r) dm$

Gas Pressure forces: $df_{p,gas} = -A P(r + dr) + A P(r) = -A \frac{dP}{dr} dr$

Radiation forces: $df_{rad} = g_{rad}(r) dm = \frac{\text{absorbed photon momentum}}{dt}$



In equilibrium:

$$0 = \sum_i df_i = -g(r)dm - A \frac{dP}{dr} dr + g_{rad} dm$$

and substituting $dm = A \rho dr$:

$$-g(r) \rho A dr - A \frac{dP}{dr} dr + g_{rad} \rho A dr = 0$$



$$\frac{dP}{dr} = -\rho(r)[g(r) - g_{rad}]$$

Hydrostatic equilibrium in spherical symmetry



Approximation for $g(r)$

The mass within the atmosphere $M(r) - M(R) \ll M(R) = M_*$



$$M(R) = M(r) = M_*$$

$$g(r) = G M_*/r^2$$

Example: take a geometrically thin photosphere

$$\Delta M_{phot} \approx \bar{\rho} \frac{4\pi}{3} [(R + \Delta r)^3 - R^3] = \bar{\rho} \frac{4\pi}{3} [R^3 (1 + \frac{\Delta r}{R})^3 - R^3]$$

$$\approx \bar{\rho} \frac{4\pi}{3} R^3 [1 + 3 \frac{\Delta r}{R} - 1] = \bar{\rho} 4\pi R^2 \Delta r$$



Example: the sun

$R = 7 \times 10^{10}$ cm, $\Delta r = 3 \times 10^7$ cm, $\rho \sim m_{\text{H}} N = 1.7 \times 10^{-24} \times 10^{15}$ g/cm³:

$$\Delta M_{\text{phot}} = 3 \times 10^{21} \text{ g}$$

$$\Delta M_{\text{phot}}/M = 10^{-12}$$

Moreover in plane-parallel symmetry:

$$\Delta r/R \ll 1$$

$$g(r) = \text{const}$$

$$g = G M_{*}/R^2$$

Main sequence star	$\log g = 4$ (cgs: cm/s ²)
supergiant	3.5 – 0.8
white dwarf	8
Sun	4.44
Earth	3.0

Barometric formula

Assume:

- plane-parallel symmetry
- $g_{\text{rad}} \ll g$
- ideal gas:

$$P = \frac{\rho k T}{m_H \mu} = \frac{\rho R T}{\mu} = N_g k T$$

Note:

$$\frac{k T}{m_H \mu} = v_{\text{sound}}^2$$

- and: $\frac{1}{\rho} \frac{d\rho}{dr} \gg \frac{1}{T} \frac{dT}{dr}$

k : Boltzmann constant = 1.38×10^{-16} erg K^{-1}

m_H : mass of H atom = 1.66×10^{-24} g

μ : mean molecular weight per free particle = $\langle m \rangle / m_H$

$R = k N_A = 8.314 \times 10^7$ erg/mole/K



$$\frac{dP}{dr} = -\rho(r)g(r)$$

● ● ● | Barometric formula

$$P = \frac{\rho k T}{m_H \mu} \quad \frac{dP}{dr} = -\rho(r)g(r)$$

$$\frac{k}{m_H \mu} \left[T \frac{d\rho}{dr} + \rho \frac{dT}{dr} \right] = -g\rho$$

$$\frac{kT}{m_H \mu} \left[\frac{1}{\rho} \frac{d\rho}{dr} + \frac{1}{T} \frac{dT}{dr} \right] = -g$$

negligible by assumption

$$\frac{1}{\rho} \frac{d\rho}{dr} = -\frac{gm_H \mu}{kT}$$

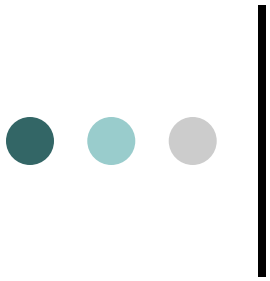
and: $H = \frac{kT}{gm_H \mu}$

pressure scale height

H(Sun) = 150 km

solution:

$$\rho(r) = \rho(r_0) e^{-\frac{r-r_0}{H}}$$



$$\frac{dP}{dr} = -\rho(r)g(r)$$

$$\rho(r) = \rho(r_0) e^{-\frac{r-r_0}{H}}$$

Approximate solution:
Barometric formula

- **exponential decline of density**
- **scale length $H \sim T/g$**
- **explains why atmospheres are so thin**

$$H = \frac{kT}{gm_H\mu}$$

b. radial flow


Let us now consider the case in which there are deviations from hydrostatic equilibrium and $v(r,t) \neq 0$.

Newton's law:

$$dm \frac{d}{dt} v(r,t) = \sum_i df_i$$

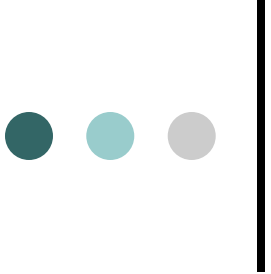
$$\frac{d}{dt} v(r,t) = \lim_{\Delta t \rightarrow 0} \frac{v(r + \Delta r, t + \Delta t) - v(r,t)}{\Delta t} \xrightarrow{\text{Taylor expansion}} v(r + \Delta r, t + \Delta t) = v(r,t) + \frac{\partial v}{\partial r} \Delta r + \frac{\partial v}{\partial t} \Delta t$$

and
 $\Delta r = v \Delta t$


$$\frac{d}{dt} v(r,t) = \lim_{\Delta t \rightarrow 0} \frac{v \frac{\partial v}{\partial r} \Delta t + \frac{\partial v}{\partial t} \Delta t}{\Delta t} = \frac{\partial v}{\partial t} + v \frac{\partial v}{\partial r}$$

In general:

$$\frac{d}{dt} \alpha(r,t) = \frac{\partial}{\partial t} \alpha(r,t) + v(r,t) \times \text{grad}\{\alpha(r,t)\}$$



$$\frac{d}{dt}v(r,t) = \frac{\partial v}{\partial t} + v \frac{\partial v}{\partial r}$$

For the assumption of stationarity $\partial/\partial t = 0$

$$\frac{d}{dt}v(r,t) = v \frac{\partial v}{\partial r}$$

$$dm \frac{d}{dt}v(r,t) = dm v \frac{\partial v}{\partial r} = \sum_i df_i$$

$dm = A\rho dr$ $-g(r)dm - A \frac{dP}{dr} dr + g_{rad} dm$

$$\frac{dP}{dr} = -\rho [g(r) - g_{rad} + v \frac{dv}{dr}]$$

 new term

equation of continuity, mass conservation

$$\dot{M} = \frac{d}{dt} M = 4\pi r^2 \rho v$$



$$v = \frac{\dot{M}}{4\pi r^2 \rho}$$



$$\frac{dv}{dr} = -\frac{2\dot{M}}{4\pi r^3 \rho} - \frac{\dot{M}}{4\pi r^2 \rho^2} \frac{d\rho}{dr} = -\frac{2v}{r} - \frac{v}{\rho} \frac{d\rho}{dr}$$



From: $\frac{dP}{dr} = -\rho[g(r) - g_{rad} + v \frac{dv}{dr}]$


and from the equation of state:

$$\frac{dP}{dr} = \frac{kT}{m_H \mu} \frac{d\rho}{dr} = v_{sound}^2 \frac{d\rho}{dr}$$

$$\rho v \frac{dv}{dr} = -2\rho \frac{v^2}{r} - v^2 \frac{d\rho}{dr}$$

Assuming again that the

temperature gradient is small



$$(v_{\text{sound}}^2 - v^2) \frac{d\rho}{dr} = -\rho \left[g(r) - g_{\text{rad}} - 2 \frac{v^2}{r} \right]$$

Hydrodynamic
equation of motion

with

$$\text{escape velocity: } \frac{mv_{\text{esc}}^2(r)}{2} \Rightarrow G \frac{mM}{r} = mgr \rightarrow v_{\text{esc}}^2(r) = 2gr$$

re-write

$$(v_{\text{sound}}^2 - v^2) \frac{d\rho}{dr} = -\rho g(r) \left[1 - \frac{g_{\text{rad}}}{g(r)} - 4 \frac{v^2}{v_{\text{esc}}^2(r)} \right]$$

When $v \ll v_{\text{sound}}$: practically hydrostatic solution ($v = 0$) for density stratification. This is reached well below the sonic point (where $v = v_{\text{sound}}$).

example: $v_{\text{sound}} = 6$ km/s for solar photosphere , 20 km/s for O stars

$v_{\text{esc}} = 100$ to 1000 km/s for main sequence and supergiant stars

16

$$\rightarrow (v_{\text{sound}}/v_{\text{esc}})^2 \ll 1$$

3. Conservation of energy

Stellar interior: production of energy via nuclear reactions

Stellar atmosphere: negligible production of energy



the energy flux is conserved at any given radius



$$F(r) = \frac{\text{energy}}{\text{area} \cdot \text{time}}$$

$$4\pi r^2 F(r) = \text{const} = \text{luminosity } L$$

In spherical symmetry: $r^2 F(r) = \text{const}$

$$F(r) \sim 1/r^2$$

Plane-parallel: $r^2 \sim R^2 \sim \text{const}$

$$F(r) \sim \text{const}$$



4. Concepts of Thermodynamics

Radiation field

Consider a closed “cavity” in thermodynamic equilibrium TE (photons and particles in equilibrium at some temperature T). →

The specific intensity emitted (through a small hole) is (energy per area, per unit time, per unit frequency, per unit solid angle) (**Planck function**):

$$I_\nu d\nu = B_\nu(T) d\nu = \frac{2h\nu^3}{c^2} \frac{1}{e^{h\nu/kT} - 1} d\nu \quad (\text{erg cm}^{-2} \text{ s}^{-1} \text{ Hz}^{-1} \text{ sr}^{-1})$$

black body radiation: universal function dependent only on T
not on chemical composition, direction, place, etc.

Note: stars don't radiate as blackbodies, since they are not closed systems !!!
But their radiation follows the Planck function qualitatively

properties of Planck function

a. $B_\nu(T_1) > B_\nu(T_2)$ (monotonic) for every $T_1 > T_2$ (no function crossing)

b. $\nu_{\max}/T = \text{const}$

Wien's displacement law

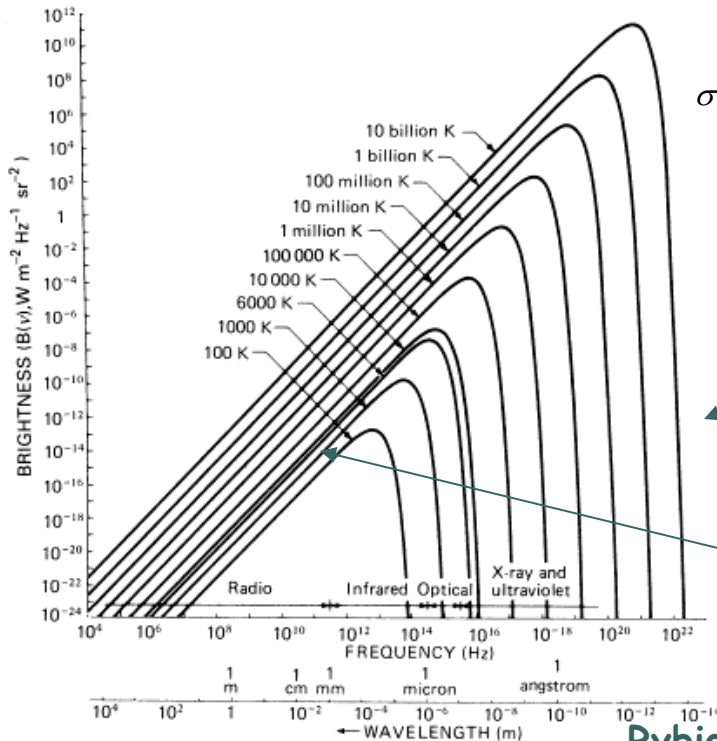
$$\lambda_{\max} = \frac{2.898 \cdot 10^7}{T} \text{ \AA for } B_\lambda$$

c. Stefan-Boltzmann law:
(total flux)

$$F = \pi \int_0^\infty B_\nu(T) d\nu = \sigma T^4$$

$$\lambda_{\max} = \frac{5.099 \cdot 10^7}{T} \text{ \AA for } B_\nu$$

$$\sigma = 5.67 \cdot 10^{-5} \text{ erg cm}^{-2} \text{ s}^{-1} \text{ K}^{-4}$$



$h\nu/kT \gg 1$: Wien

$$B_\nu(T) \approx \frac{2h\nu^3}{c^2} e^{-h\nu/kT}$$

$h\nu/kT \ll 1$: Rayleigh-Jeans

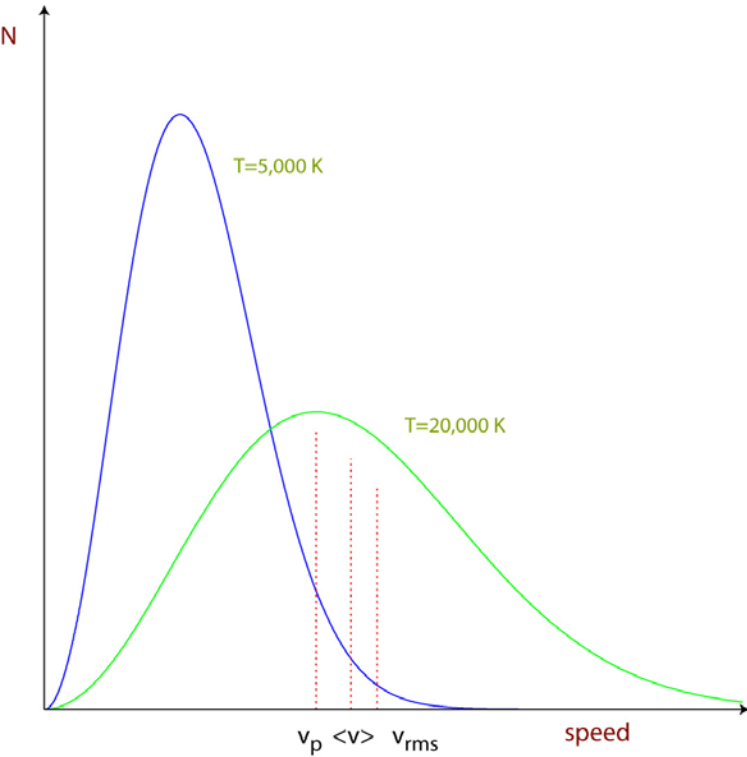
$$B_\nu(T) \approx \frac{2\nu^2}{c^2} kT$$

Concepts of Thermodynamics

Gas particles

1. Velocity distribution

In complete equilibrium this is given by Maxwell distribution (needed, e.g., for collisional rates):

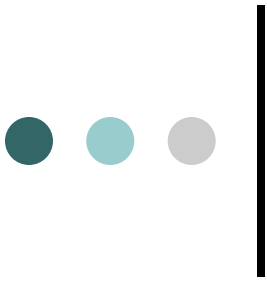


$$f(v)dv = 4\pi v^2 \left(\frac{m}{2\pi kT} \right)^{3/2} e^{-\frac{mv^2}{2kT}} dv$$

$$\text{most probable speed: } v_p = (2kT/m)^{1/2}$$

$$\text{average speed: } \langle v \rangle = (8kT/\pi m)^{1/2}$$

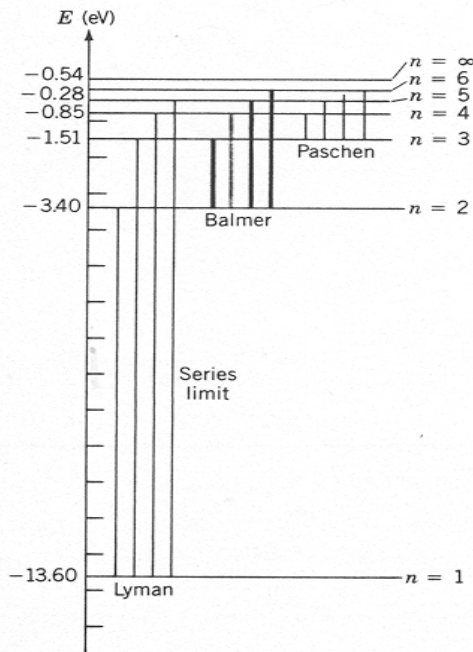
$$\text{r.m.s. speed: } v_{rms} = (3kT/m)^{1/2}$$



2. Energy level distribution



Boltzmann's equation for the population density of excited states in TE



upper level: u

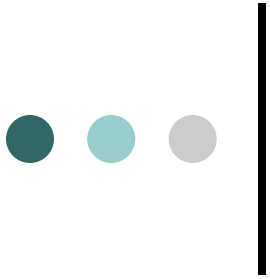
lower level: l

$$\frac{n_u}{n_l} = \frac{g_u}{g_l} e^{-\frac{(E_u - E_l)}{kT}}$$

g_u, g_l : statistical weights = $2J+1$: number of degenerate states)
= $2n^2$ for Hydrogen

$$\frac{n_n}{n_{tot}} = \frac{g_n}{u} e^{-\frac{E_n}{kT}}$$

$$u = \sum_i g_i e^{-\frac{E_i}{kT}} \quad \text{partition function}$$



3. Kirchhoff's law

For a thermal emitter in TE

$$\frac{\epsilon_{\nu}}{\kappa_{\nu}} = \frac{\text{emission coefficient}}{\text{absorption coefficient}} = B_{\nu}(T)$$

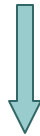
as a consequence of Boltzmann's equation for excitation and Maxwell's velocity law.



Local Thermodynamic Equilibrium

A star as a whole (or a stellar atmosphere) is far from being in thermodynamic equilibrium: energy is transported from the center to the surface, driven by a temperature gradient.

But for sufficiently small volume elements dV , we can assume TE to hold at a certain temperature $T(r)$



Local Thermodynamic Equilibrium (LTE)

good approx: stellar interior (high density, small distance travelled by photons, nearly-isotropic, thermalized radiation field)

bad approx: gaseous nebulae (low density, non-local radiation field, optically thin)

stellar atmospheres: optically thick but moderate density



Local Thermodynamic Equilibrium

1. Energy distribution of the gas

determined by **local temperature $T(r)$**

appearing in Maxwell's/ Boltzmann's equations, and Kirchhoff's law

2. Energy distribution of the photons

photons are carriers of non-local energy through the atmosphere

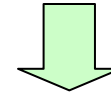
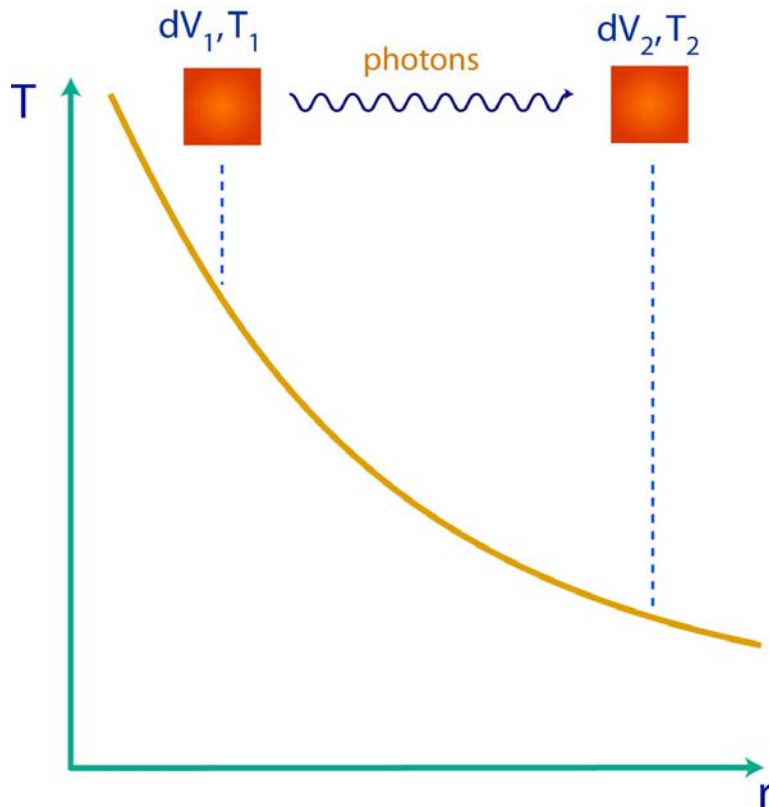
→ **$I_\nu(r) \neq B_\nu[T(r)]$**

I_ν is a superposition of Planck functions originating at different depths in the atmosphere → radiation transfer

LTE vs NLTE

LTE

each volume element separately in thermodynamic equilibrium at temperature $T(r)$



1. $f(\nu) d\nu = \text{Maxwellian with } T = T(r)$
2. Saha: $(n_p n_e)/n_1 \sim T^{3/2} \exp(-h\nu_1/kT)$
3. Boltzmann: $n_i / n_1 = g_i / g_1 \exp(-h\nu_{1i}/kT)$

However:

volume elements not closed systems, interactions by photons

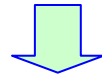
→ LTE non-valid if absorption of photons disrupts equilibrium

LTE vs NLTE

NLTE if

rate of photon absorptions \gg rate of electron collisions

$$I_\nu(T) \sim T^\alpha, \alpha > 1 \quad \gg \quad n_e T^{1/2}$$

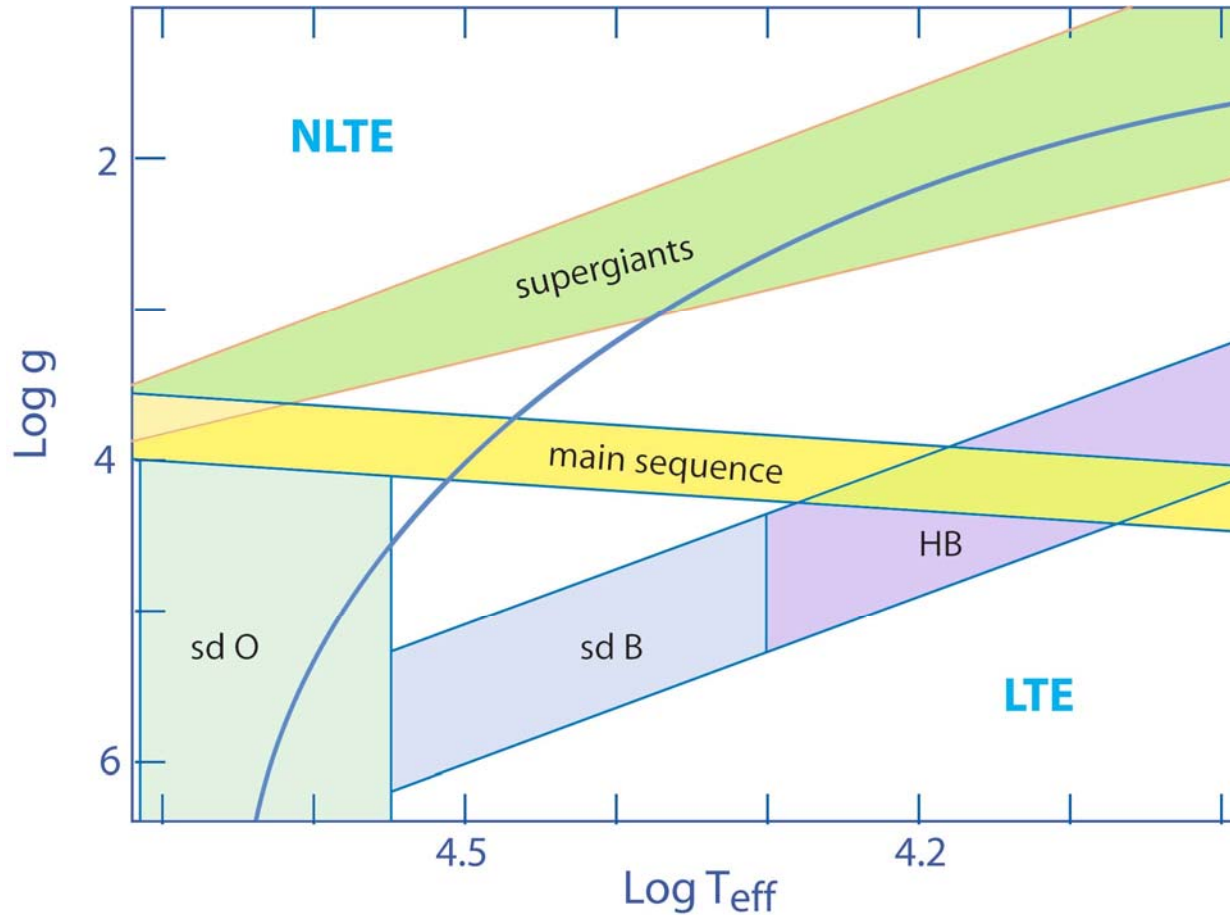


LTE

valid: low temperatures & high densities

non-valid: high temperatures & low densities

LTE vs NLTE in hot stars



Kudritzki 1978